YOUR FACULTY

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AGENDA

I. Levels of Prevention

II. Prevention in Practice

III. The Eight Cs
   • Cogent
   • Community-wide
   • Collaborative
   • Consistent
   • Compliant
   • Comprehensive
   • Centrally-planned
   • Culturally Competent

IV. VAWA Section 304 Prevention Programming
Given the depth and breadth of the training, and educational and programming requirements under VAWA 2013 – Sec. 304, it is critical to understand the foundational theories of prevention education, programming, initiatives, and strategies.

JUST A HEADS UP....

- Use of humor
- Victim/Survivor/Reporting Party
- Gendered Pronouns
- Content Warning
**TERMINOLOGY**

- Education
- Training
- Awareness
- Risk Reduction
- Prevention
  - Primary Prevention
  - Secondary Prevention
  - Post-vention
  - Tertiary Prevention

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**PREVENTION IS...**

Integrated across disciplines and therefore collaborative

Holistic and address multiple areas of wellness (physical, emotional, spiritual, intellectual, etc)

Evidence based and/or able to demonstrate efficacy

Strategic in design and implementation

Multi-targeted, directed at the individual, the community, and the society/environment

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**LEVELS OF PREVENTION**

- **Primary** prevention aims to prevent the harm **before it ever occurs**
- **Secondary** prevention targets a problem that **already exists**; often immediately after injury **has occurred**.
- **Tertiary** prevention provides **treatment and longer-term remedies when harm has already occurred**.
LEVELS OF PREVENTION

Primary
- Bystander Intervention
- Programs teaching healthy and respectful relationships
- Risk-reduction programming
- Programs addressing men's role in preventing sexual violence
- Discussion groups with populations with high-risk of perpetration
- Social norming campaigns

Secondary
- Interim remedies and victim services
- Deterrence-based programs
- Awareness programming — Take Back the Night, Walk a Mile in Her Shoes, etc.
- Social norming campaigns (can be primary or secondary depending on focus)

Tertiary
- Remedies for victims
- Offender treatment services
- Policies and procedures to address sexual violence
- Support groups and long-term remedies

PREVENTION: THE EIGHT C’s

8C’s

Cogent
Community-wide
Collaborative
Consistent
Compliant
Comprehensive
Centrally-planned
Culturally Competent

Note: The Eight C’s are copyrighted to The NCHERM Group, LLC.
COGENT

• Make the content and the curriculum persuasive, convincing, clear, coherent, and sound.
• Relies on researched models, strong data, and proven methodologies.
• Relies on best practices, harnesses and employs assessment efforts, and has primary prevention as its foundation, rather than an afterthought.
• Well thought-out, targeted, and tailored to the specific campus community and often to specific constituencies.

COGENT (CONT.)

• Aims to reach all students and acknowledges the spectrum of types of community members on a campus.
• Intended to impact the climate, processes, and even policies in a given system.
• Targeted to assure that every student has the opportunity to participate.
• Prevention mentality must be infused throughout the community, at all levels and with all populations.
• Develop programs and educational events for students in each year.
• Be willing to mandate programming.

COMMUNITY-WIDE

• Aims to reach all students and acknowledges the spectrum of types of community members on a campus.
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Mandated Programming

**Negative Consequence**
A mandate with a negative consequence is often easier to create, administer, and enforce.
Examples:
- Hold placed on registration
- Bumped to the bottom of the housing request pool
- Parking limited to a specific, inconvenient lot

**Positive Consequence**
But, a positive mandate is more developmental and students may have better learning outcomes.
Examples:
- Free tickets to the homecoming game
- Counts toward 3 of their required programming
- Parking pass to a premier lot

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**3 COLLABORATIVE**

- Bring together a multitude of students and professionals with varied expertise.
- Value to having multiple perspectives at a table.
- Add to the chances of success and will yield a collaborative mind-set that continues beyond the life of a project.
- Students are key to your success.

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**3 COLLABORATIVE (CONT.)**

- Work with those offices/departments/organizations that have shared values and similar visions, and align your curriculum to meet your goals as an organization.
- Review your strategic plan and curriculum often.
- Joint efforts in assuring and maintaining compliance with federal training regulations are most effective.
- Structured process for exchanging insights and content, no matter how formal or informal.
Work with student activities.
• They have the money.
• They have programming needs.
• They have advertising "machine."
• Make an educational argument (5 percent).
• Collaborate with them on other projects; ‘quid pro quo.’

Work with academic departments.
• They have the students.
• Try to get into the syllabus.
• Pitch to core classes (e.g. university experience, professors who are overworked, and 101 courses).
• Use extra credit as incentives.
• Develop learning goals.
• Examples: Psychology, Sociology, Women’s and Gender Studies, General Education, Film & Media, Honors College, and Public Speaking/English.

Additional departments:
• Career Services
• Orientation
• Office of Diversity Services
• Housing and Residential Life
• Police/Campus Safety
• Greek Life
• Athletics
• Health and/or Counseling Services
4 CONSISTENT

- Cohesive, having a standard of form, and the ability to replicate our efforts.
- Dedication to the work, its messages, and our students.
- Commitment to the production, evaluation, and re-evaluation of your programmatic efforts.
- Consistency allows for measurement.
- Programs need to be continuous and visible throughout the year; consistency maintains your message.
- Set specific goals.

5 COMPLIANT

- In today’s hyper-regulatory environment, our prevention efforts must be compliant with applicable laws, regulations, and guidance.
- Efforts must fulfill the requisite duty of care.
- Utilize a range of campaigns, strategies, and initiatives to provide awareness, educational, risk reduction, and prevention programming.

6 COMPREHENSIVE

- Employ both the entire spectrum of prevention as well as three levels of prevention: primary, secondary, and tertiary.
- How is our duty of care to our community and its members best fulfilled?
- A successful four-year educational strategy has a number of complex elements.
Centralized Office or Committee:

- Faculty and student representatives and other key stakeholders should be involved in the planning process.
- Requires that a master calendar be functioning on your campus for you to make event, space, and timing decisions. Coordinating message, timing, dosage, audience, the developmental progression of the concepts, assuring mutually reinforcing concepts, and cross-pollinating effective prevention paradigms can create a tipping point of transformation.
- May help to require that all programming efforts that are not centralized be pre-approved by the committee, so that the committee has a mechanism for keeping the master calendar accurate and staying on message with the prevention philosophy and strategy.
- May also be helpful to centralize programmatic funding within this committee, or at least centralize approval for programmatic expenditures related to its purview, and to help avoid non-strategic programs that may detract from or diminish the efficacy of the strategy.
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CULTURALLY COMPETENT
Prevention efforts must consider cultural relevancy
•Review terminology frequently to remain current
•Draw upon the societal landscape, including social movements and high-profile cases
•Ensure examples are inclusive
•Use references highlighting various identities
•Identify barriers to accessing education and/or services, such as native language, immigration status, or scholarship funding

VAWA 2013 – SECTION 304: PREVENTION PROGRAMMING
•Regulations repeatedly reference varying forms of programming, education, and initiatives targeting Sexual Assault, Domestic Violence, Dating Violence, and Stalking, such as:
  – Primary prevention programs
  – Ongoing prevention and awareness programming and campaigns
  – Risk factors, protective factors, and risk reduction
  – Programming that changes behavior and social norms
  – Programming that increases understanding and skills
VAWA EDUCATIONAL PROGRAMS AND CAMPAIGNS

- Description of Programs
- Traits of Effective Programs
- Primary Prevention
- Awareness Programs
- Incoming Students and New Employees
- Prohibiting the VAWA Offenses
- Bystander Intervention
- Risk Reduction
- Ongoing...

VAWA: EDUCATIONAL PROGRAMS AND CAMPAIGNS

- ASR Statement must include:
  - “A description of the institution’s educational programs and campaigns to promote the awareness of dating violence, domestic violence, sexual assault and stalking.”
  - “A description of the institution’s primary prevention and awareness programs for all incoming students and new employees.”

“Programs to prevent dating violence, domestic violence, sexual assault and stalking are defined as comprehensive, intentional and integrated programming, initiatives and strategies and campaigns intended to end dating violence, domestic violence, sexual assault and stalking.”

VAWA: EDUCATIONAL PROGRAMS AND CAMPAIGNS

- Programs should be tailored to each institution and its constituents and be:
  - “Culturally relevant.
  - Inclusive of diverse communities and identities.
  - Sustainable.
  - Responsive to community needs.
  - Informed by research or assessed for value, effectiveness or outcome (i.e.: research conducted according to scientific standards and efficacy assessments performed by institutions and organizations), and
  - Consider environmental risk and protective factors as they occur in the individual, relationship, institutional, community, and societal levels.”
VAWA: EDUCATIONAL PROGRAMS AND CAMPAIGNS

• Programs must include primary prevention:
  – Primary prevention defined: programming, initiatives, and strategies intended to stop the crimes before they occur through:
    § Promotion of positive and healthy behaviors that foster healthy, mutually respectful relationships and sexuality.
    § Encourage safe bystander intervention, and
    § Seek to change social behavior and social norms in healthy and safe directions.
    § Examples: programs that promote good listening and communication skills, moderation in alcohol consumption, and common courtesy.

VAWA: EDUCATIONAL PROGRAMS AND CAMPAIGNS

• Programs must include awareness programs:
  – Awareness programs defined: “Community-wide or audience-specific programming initiatives and strategies that increase audience knowledge, and share information and resources to:
    § Prevent violence
    § Promote safety, and
    § Reduce perpetration” (8-4)

VAWA: EDUCATIONAL PROGRAMS AND CAMPAIGNS

• Must be directed at all incoming students and new employees:
  – No requirement that all take or attend, but an attendance mandate is encouraged.
  – Institutions must make a “good-faith effort” to reach all incoming students and all new employees.
  – Requires “active notification of the training’s availability, and providing the training in a format and timeframe that encourages and allows for maximum participation.”
  – Means of delivery (in-person, theatrical, online videos, online interactive) can vary depending “on the circumstances of your community” (8-4 & 8-5).
### VAWA: Educational Programs and Campaigns

#### “Incoming Students”
- First-year students
- Transfer students
- Student-athletes
- International students
- Graduate students
- Professional students
- Online students
- Others?

#### “New Employees”
- Full-time
- Part-time
- Faculty – all levels
- Staff
- Administrators
- Union and non-union
- Student employees: RAs, TAs, GAs...
- Others?

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### Programming should include “a statement that the institution prohibits the crimes of dating violence, domestic violence, sexual assault and stalking as those terms are defined for purposes of the Clery Act.”

- This does NOT mean your institutional policies must mirror Clery Act definitions.
- Local jurisdictional definitions of:
  - Dating violence, domestic violence, sexual assault and stalking.
  - Consent in reference to sexual activity.
    - Should also state institutional definition of consent and how it is used.

*Note: “If your local jurisdiction does not define one of these terms, state that there is no definition of the terms in your local jurisdiction.”*

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### Programming should include “a description of safe and positive options for bystander intervention”

- Defined as: “Safe and positive options that may be carried out by individual or individuals to prevent harm or intervene when there is a risk of dating violence, domestic violence, sexual assault and stalking.”
  - Recognizing situations of potential harm;
  - Understanding institutional structures and cultural conditions that facilitate violence;
  - Overcoming barriers to intervening;
  - Identifying safe and effective intervention options; and
  - Taking action to intervene” (8-7).
Programming should include “information on risk reduction”
- Defined as “options designed to:
  - Decrease perpetraions and bystander inactions;
  - Increase empowerment for victims in order to promote safety; and
  - Help individuals and communities address conditions that facilitate violence” (8-7).

Note: “information about risk reduction must not be presented in a manner that encourages victim blaming.”

Your statement must include a description of the institution’s ongoing prevention and awareness campaigns for students and employees.
- Programming, initiatives, and strategies that are sustained over time
- Must focus on increasing understanding of topics relevant to and skills for addressing dating and domestic violence, sexual assault, and stalking.
- Should use “multiple strategies in a coordinated way throughout the year to reach all populations.” For example:
  - Communication strategies
  - Programming and providing materials
  - Booths at student fairs and events
  - Faculty-led classroom discussions on issues and available services (B-8 & B-9)

Note: These campaigns must provide the same information and meet all of the same standards as primary awareness and prevention campaigns.

The basis of each training as required/recommended by:
- Title IX-based guidance from OCR and/or DOJ
  - April 2011 Dear Colleague Letter (rescinded in September 2017)
  - 2016 Q&A (rescinded in September 2017)
  - 2015 Dear Colleague Letter & Resource Guide
- Major resolution agreements and letters
- Implied necessary elements in various OCR resolution agreements
- Elements recommended by ATIXA
- VAWA 2013 – Sec. 304
Trainee Populations:
- Title IX Compliance Officers. E.g.: coordinator and deputies, investigators, hearing boards (including appeals), and others involved in processing, investigating, or resolving complaints.
- First Responders. E.g.: RAs, health center employees, counselors, sexual assault response coordinators, academic advisors, and public safety.
- All Faculty & Staff; ATIXA Mandatory Reporters. ATIXA recommends making all faculty and staff mandatory reporters.
- All Students
  - Undergraduate, graduate, professional, distance, and online, etc.

TRAINING CHECKLIST

Centralization and oversight of campus-wide efforts.

How?
- In person? Online? Classroom?
- Administrator-driven? Peer-driven?
- When/how often?
  - Orientation: summer orientation, orientation (student, faculty, and staff)
  - Follow-up is crucial.

Ongoing prevention and awareness campaigns
- Programs, conversations, speakers, hall and floor meetings, first-year seminar, third-party online training, etc.
While much time and attention is spent on how to best respond to notice of Sexual Harassment/Stalking/Sexual Violence taking place, we spend too little time exploring how to prevent these behaviors on campuses and online.

• The first key to prevention is recognition.
  - Bystander intervention example
• There are many ways that Sexual Harassment/Stalking/Sexual Violence can manifest, but rarely does it so in isolation.
• The context is one of an entire continuum, including bullying and stalking.
• Let’s explore a graphical representation of the concept...
CONTINUUM OF SEXUAL/SEX-BASED DISCRIMINATION

Sexual Harassment
Objectifying, gender-focused jokes, sexual comments, bullying, and vulgar pictures.

Seductive behavior and inappropriate advances.

Threats, blackmail, sexual bribery, and stalking (pre-attack).

Physical force, sexual fondling, rape, IPV, and stalking (post-attack).

9 PRINCIPLES OF EFFECTIVE PREVENTION PROGRAMS

1. Comprehensive: Strategies should include multiple components and affect multiple settings to address a wide range of risk and protective factors of the target problem.

2. Varied Teaching Methods: Strategies should include multiple teaching methods, including some type of active, skills-based component.

3. Sufficient Dosage: Participants need to be exposed to enough of the activity for it to have an effect.

4. Theory Driven: Preventive strategies should have a scientific justification or logical rationale.

5. Positive Relationships: Programs should foster strong, stable, and positive relationships between students and role models/mentors.

6. Appropriately Timed: Program activities should happen at a time (developmentally) that can have maximal impact in a participant’s life.

7. Socio-Culturally Relevant: Programs should be tailored to fit within cultural beliefs and practices of specific groups, as well as local community norms.

8. Outcome Evaluation: A systematic outcome evaluation is necessary to determine whether a program or strategy worked.

9. Well-Trained Staff: Programs need to be implemented by staff members who are sensitive, competent, and have received sufficient training, support, and supervision.
ATIXA POSITION STATEMENT

- Worry that application of the knowledge obtained by practitioners in our field has gotten way ahead of the actual science
- The body of knowledge is being misapplied
- Some purveyors of this knowledge are politically motivated to extrapolate well beyond any reasonable empirical conclusions currently supported by the science
- The field needs to incorporate trauma-informed investigation and interviewing methods into its best practices provided that they do not compromise the ability to obtain credible, relevant evidence
- However, the “Neurobiology of Trauma” should not significantly influence the way that colleges and schools evaluate evidence

ATIXA POSITION STATEMENT

- We can be trauma-informed in our investigations without allowing trauma to unduly influence our interpretation of evidence
- Wise administrators are vetting their training materials for potential indications of bias to ensure the best possible defense to a claim of a biased resolution process
- Not suggesting that you forego trauma training, but that you balance your trainings, or, better yet, obtain training from a balanced source
UNDERREPORTING

- Fear of not being believed
- Fear of being blamed
- Not defining what happened as sexual violence
- Fear of retaliation
- Concerns about confidentiality
- Negative associations with medical, law enforcement, or legal establishments
- Cultural norms
- To whom and how to disclose
- Concerns if drugs were used and/or if underage
- Delayed reporting
- Re-traumatize
- Nothing will come of it
- Others?

WHAT IS TRAUMA?

Exposure to an event or events that creates a real or perceived threat to life, safety, or sense of well being and bodily integrity.

May result from:
- War
- Natural Disasters
- Sexual Violence
- Relationship Violence
- Stalking

HOW THE BRAIN AND BODY RESPOND TO TRAUMA

- Amygdala: The brain's emotional computer and alarm system
- Hippocampus: Brains storage for our most recent, conscious memories
- Pre-frontal Cortex: Where information is used to make decisions about cognitive and emotional responses
HOW THE BRAIN AND BODY RESPOND TO TRAUMA

Amygdala senses a threat and sets off flight or fight response.

Hypothalamus begins responding to the crisis by sending messages and hormones.

The hypothalamus produces hormones to help the body respond to the stress.

Hormonal flood produces a biological response across the body.

IMPACT OF TRAUMA ON FUNCTIONING

Emotional

Neurological

Biological

Social

Psychological

Trauma
HORMONAL FLOOD

- Catecholamines (Adrenaline): responsible for fight or flight; create mobilization but impair rational thought and decision-making
- Opioids: to deal with pain, create flattening affect
- Cortisol: affects energy availability
- Oxytocin: promotes good feelings to counterbalance sensation of pain

Can last 96 hours

HORMONAL FLOOD

These hormones affect the body's ability to...

React physically  Think rationally  Consolidate or group memories

This is a neurobiological response, not a choice.

EFFECTS OF FLOODING

Hormones are released in varying amounts and may result in behavioral differences among victims:
- Fight, Flight, Freeze - not a choice. Also impacted by chemical surge into prefrontal cortex, impairing ability to think rationally.
- May present to you as confused, laughing, crying, flat, angry, irritable, or variable.
**Tonic Immobility**

- Hormonal flood and dysregulation of the autonomic nervous system can cause the body to shut down.
- "Rape-induced paralysis."
- Up to ½ of those who experience a sexual assault will also experience tonic immobility, like being awake during surgery.
- Know what is happening but can’t fight.
- This is a biological response based on survival; think of the animal world.

**Memory and Sexual Assault**

Hippocampus is the "memory maker," processes information into memories.

Memory is formed in two steps:
1. Encoding: organizing sensory information coming into brain.
2. Consolidation: grouping into memories and storing the stimulus.

**Memory and Sexual Assault (Cont.)**

- The hormonal flood doesn’t interfere with the laying down of memory or its accuracy, but does impair the ability of the hippocampus to consolidate memory.
- May create fragmented memories.
- Recall can be slow and difficult.
EFFECT OF ALCOHOL OR OTHER DRUGS

- Alcohol (or other drugs) will also interfere with encoding of the context details such as time, place, and exact sequence of events.
- What is encoded will be fragmented; may result in spotty memory.
- Brain will still process sensory information, like smell.

EXPECTATIONS DURING INTERVIEW

- Expecting a victim to give a linear account in the days after an incident, or after having been triggered, is not realistic.
- Memory fragmentation is a neurobiological condition.
- Having “inconsistent” memory, pausing, and stumbling to provide an account are normal.
- Considerations for credibility assessment.
  - Recognize we cannot excuse or dismiss discrepancies in testimony, but we can understand why they may exist.

ADDITIONAL IMPACTS DURING THE 96 HOURS

- Physical toll on body: headaches, body ache, GI issues
- Compromised decision-making
- Emotional swings
- Self-medicating behaviors
**VICTIM RESPONSE TO TRAUMA ALSO IMPACTED BY**

- Personality
- Coping strategies
- Available support systems and resources
- General resilience
- Past history of traumatic experiences
- Cultural differences in the perception and expression of trauma
- Normalization/adaptation

**IMPACT ON PARTNERS, FAMILY, AND FRIENDS**

- Secondary, or indirect, victims.
- Often suffer many of the same initial and long-term symptoms.
- Overprotection or blame.
  - Important to have informed and helpful point of contact with the institution (as permissible given privacy issues).
- High stress associated with unsupportive behaviors (e.g., emotional withdrawal, blaming).

**TRAUMA-INFORMED INTAKE AND INTERVIEWING**

- Intake and Interview Overview
- Considerations for conducting interviews and intakes
- Information gathering techniques
INTAKE AND INTERVIEWING

INTAKE
- Initial contact
- Can be any staff official
- Receives basic info about incident
- Makes report through appropriate channels

INTERVIEW
- Might be initial contact but more likely to occur as a follow up, scheduled apt
- Trained interviewer or investigator
- Directly collects and gathers robust information through questioning

SO WHO CONDUCTS AN INTAKE?

- Key Initial Meeting/Interview
- First Respondent
- Secondary Respondent
- Intake
- Initial Report Recipient
- First Investigation Meeting/Interview
- Crisis Center
- Key person
  - Coordinator, Advocate, Administrator

INTAKE MODELS/APPROACHES

- Sexual Assault Response Team (SART)
- Crisis Center
- Key person
  - Coordinator, Advocate, Administrator
- Advocacy Group
- “No Wrong Doors”
### HOW DOES INTAKE DIFFER FROM ADVOCACY?

<table>
<thead>
<tr>
<th>Advocacy</th>
<th>Intake</th>
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<tbody>
<tr>
<td>• More “Partisan”</td>
<td>• More “Neutral”</td>
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<tr>
<td>• Coordinating resources and remedies</td>
<td>• Connect with resources and remedies</td>
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<td>• Longer-term</td>
<td>• Initial contact</td>
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<tr>
<td>• Listening, guiding, supporting</td>
<td>• Receiving information/report</td>
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<td>• More legally-oriented</td>
<td>• Less legally-oriented</td>
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### CONSIDERATIONS FOR INTAKES AND INTERVIEWS

<table>
<thead>
<tr>
<th>Environment</th>
<th>Rapport and Trust</th>
<th>Language</th>
<th>Trauma Informed</th>
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<tbody>
<tr>
<td>Physical space</td>
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<tr>
<td>Neutral setting</td>
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<tr>
<td>Seating arrangement</td>
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<tr>
<td>Comfort items: water, coffee, tissues, etc.</td>
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<tr>
<td>Gender balance…</td>
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<tr>
<td>Attendance</td>
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<td>Who should attend?</td>
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<td>Advisors/Advocates</td>
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<td>Attorneys</td>
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<td>Parents</td>
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<td>Police</td>
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### INTAKE OR INTERVIEW ENVIRONMENT

- Physical space
  - Neutral setting
  - Seating arrangement
  - Comfort items: water, coffee, tissues, etc.
- Gender balance...
- Attendance
  - Who should attend?
- Advisors/Advocates
- Attorneys
- Parents
- Police
INTAKE OR INTERVIEW RAPPORT AND TRUST

- Address immediate needs.
- Listen. Allow them to be heard. Practice active listening.
- Remember issues surrounding victimology and trauma.
- Help victim understand your role:
  - Often differs from that of an advocate.
  - May have to ask some personal, difficult, and detailed questions.
- Victim intake or interview is typically not the place for developmental/insight conversations.
- Suspend the student development/counseling tendency.
- Work to establish a baseline of relaxed conversation and establish a rapport before asking questions.
- Attendance of an advisor/advocate.

INTAKE OR INTERVIEW LANGUAGE

Body Language
- Calm demeanor
- Open posture
- Eye contact
- Non-verbal encouragement (i.e., nodding)
- Attentive listening
- No physical touch without permission

Verbal Language
- Restating their comments
- Mirroring their language
- Avoiding blaming, moralizing, judging (especially with issues of alcohol and drugs)
- Allowing for silence
- Verbal encouragement
- Normalizing feelings
- Avoid offering partisan comments:
  - “He shouldn’t have said that to you…”
  - “We will make this right…”
  - “What she did was unacceptable…”

TRAUMA-INFORMED INTAKE OR INTERVIEW

- Allowance for sleep cycles prior to interviews.
  - 1-2 sleep cycles makes a big difference in ability to connect memories.
- Be mindful that recall is often difficult and slow following trauma. Expect a non-linear account, with jumping around and scattered memories. Use strategies that pull out fragmented memories.
- If alcohol is an additional factor, narrow and detailed questions will be difficult for victims to access and may create additional stress.
- Use open-ended questions. Don’t interrupt or barrage with questions.
- Allow time.
- Be cognizant of why someone may respond in a “counterintuitive” manner.
- Use non-judgmental/non-blaming language.
- Avoid re-traumatization.
TRAUMA-INFORMED INTAKE OR INTERVIEW

- Prioritizes developing rapport and building trust.
- Emphasizes transparency and predictability.
- Promotes safety.
- Recognizes the impact of trauma on a cognitive, physical, psychological, emotional, and neurobiological level.
- Understands how trauma can impact one's academics/work/social life.
- Recognizes need for support/positive relationships.
- Honors choice with goal of empowerment.
- Is respectful; considers boundaries and privacy.

WHAT MIGHT SHUT VICTIMS DOWN

- Unsupportive responses.
- Avoid:
  - Taking control any more than you have to.
  - Escalating the situation.
  - Defining or labeling their experience.
  - Asking why questions.
  - "Why did you . . . ?"
  - Verbalizing judgment in the moment.
  - Telling them they must press charges.

INTERVIEW AND INFORMATION GATHERING TECHNIQUES

- Now begin the "interview."
  - Let them talk.
  - Give them a starting point if they don't have one.
  - Drill down later.
  - Interrupt for questions only when you must.
  - Note: some strategies may change based on their demeanor:
    - Expressive
    - Angry
    - Resistant
    - Hesitant
• Ask them if they have any questions about the process or the procedure.
  – Give them a copy of the brochure.
• Let them know that thoroughness is key and emphasize need for completeness.
• Make sure parties don’t leave facts out because they are afraid of getting into trouble.
  – Discuss the amnesty provisions (if applicable).
• Create comfort with language and sensitive subjects.
  – Let them know that they will not offend or surprise you.

INTERVIEW AND INFORMATION GATHERING TECHNIQUES

• Explain that you will be taking notes or recording and why.
  – Writing vs. Typing vs. Recording
• Acknowledge that they may have told others what happened multiple times already.
  – Ask who else they have talked to about the incident.
• Ask if they have written about this in any fashion:
  – Blog
  – Facebook/Twitter/Social Media
  – Journals or other writings
  – Texts
  – Video journals

• Depending on your role, you may be gathering evidence.
  – Recording/note-taking
  • Note-taking tips
  – Pay attention to alcohol/drug consumption and timing.
  – Ask for relevant evidence/documentation (e.g., texts, emails, photos).
  – Ask whom they spoke to about the incident.
  – Provide copies of applicable policies and procedures.
  – Ask for witnesses and what those witnesses will address.
  – Use questioning to fill gaps, clarify, etc.
  – Timeline
INTerview and information gathering techniques

• Be professional: Gather information; make no judgmental statements about the parties.
• Careful not to suggest answers in your questions.
• Notify of option for interim and long-term remedies; help facilitate provision of remedies as appropriate (more on this shortly).

INTERVIEW AND INFORMATION GATHERING TECHNIQUES

• When they are finished giving the initial statement:
  – Get clarifications –
    § Lots of open ended questions.
    § Use closed questions when resistant or necessary.
    § Give reminders.
    § Speak clearly.
    § Ask simple questions.
    § Cue with time reminders when you go back to assist recall.
    § Do not suggest an answer.
    § Do not appear frustrated or anxious.

• At the end:
  – “Is there anything else you think is important for us to know?”
  – “Are there any questions that you thought we might ask that we didn’t ask?”
  – “What do you think the motivation for this complaint is?” (Only ask if you have already not been told this information.)
To conclude (some investigators do this earlier to assist with empathy):
- Find out if their academics and/or work have been affected.
- Ask how this has affected them emotionally and/or physically.
- Discuss counseling options if they are not already connected.
- NOTE: Challenges that this may provide when there is not an advocate.

Discuss interim remedies that may be taken:
- No contact orders
- Interim restrictions or suspensions
- What this means: academics and timelines
- Class changes
- Living arrangements
- Discuss non-retaliation.
  - Give examples of retaliation, and to whom it should be reported immediately.

COMMON INTERIM & LONG-TERM REMEDIES FOR STUDENTS

- No-contact orders
- Providing an escort
- Adjusting course schedules, coursework, groupwork etc.
- Residential life adjustments
- Transportation Accommodations
- Providing counseling services
- Providing medical services
- Providing academic support services, such as tutoring
- Re-take a course/withdraw from a class without penalty
- Refunds, transcript adjustments
- Incompletes and Independent Study
- Taking actions to prevent retaliation
- Campus wide training and education initiatives & programming
- Referral to and facilitate connection with police and community resources
COMMON INTERIM & LONG-TERM REMEDIES FOR FACULTY & STAFF

- No-contact orders
- Providing an escort
- Adjusting work schedules
- Adjust supervision/reporting arrangements
- Providing counseling services (EAP, etc.)
- Paid Leave
- Unpaid Leave (use caution)
- Adjusting work tasks
- Taking actions to prevent or redress retaliation
- Training and education initiatives
- Supervisor notification
- Referral to and facilitate connection with police and community resources
- Sensitivity training and educational programming

INTERVIEW AND INFORMATION GATHERING TECHNIQUES

- Let reporting parties know the next steps and when they will hear from you, and that they can contact you anytime with questions or any problems that arise.
  - Get their contact information:
    - Voicemail?
    - Email?
    - Text?
  - Provide timelines if possible

UNIQUE CONSIDERATIONS

Adjusting approaches to unique populations and situations
**UNIQUE CONSIDERATIONS**

- Populations:
  - Male reporting parties.
  - Reporting parties from historically marginalized groups/communities.
  - LGBTQI reporting parties.
  - International parties.
  - Parties with disabilities.
  - Parties with histories of mental health issues.
  - Reporting parties of drug-facilitated assaults.
  - Reporting parties of repeat assaults.
- Underreporting
- Stereotyping
- Internalized and/or institutionalized bias/prejudice
- Lack of informed, available services

**MALE REPORTING PARTIES**

- Perpetrators of any sex
  - Power and control
  - Within the context of relationships
- Gender norms
  - Fear
  - Embarrassment
  - Self-defense
  - Perceptions about sexual orientation
- Physiology

**INTERNATIONAL PARTIES**

- Students, faculty, and staff
- Language barriers
- Cultural variance and differentiation
  - Religious considerations
  - Interaction between men and women
  - LGBT barriers
  - Use of alcohol and drugs
- Pride, shaming, and disowning
- Immigration status
- Unfamiliarity with or trust in counseling and medical services and support
LGBTQI OR SAME-SEX PARTIES

- Heterosexual or LGBTQI perpetrators
- Rates of sexual violence within the LGBTQI community
- Targeting based on (perceived) identity
- Reporting may force "outing"
- Fear of betraying community
- Unique health concerns
- Gender-neutral language
- Religious overlay
- Familial tensions

IMPACT OF CULTURAL DIMENSIONS ON WILLINGNESS TO REPORT

- Reporting parties of color (especially women) may also have cultural forces impacting their willingness to report. Examples:
  - Taboos in discussing sexual matters.
  - Submissiveness in response to machismo and male authority.
  - Concerns about community response and attitudes.
  - Impulses to deny harassment when the harasser is a member of the same community of color, so as to preserve cohesion in the community.
  - Cultural norms for sexual harassment may be different in home/family belief systems.

RACIAL BIAS IN INVESTIGATIONS/ADJUDICATIONS

- Allegations of disparate racial treatment in campus Title IX processes:
  - Overrepresentation of responding parties who are male students of color (and especially black male students).
  - "spotlighting" and "dimming"
  - Failure to provide due process protections alleged to be motivated by implicit or explicit racial bias.
  - Concerns that administrators take more seriously concerns/reports brought forward by white reporting parties than by reporting parties of color.
### Racial Bias in Investigations/Adjudications

- Allegations of disparate treatment for students of color in Title IX cases.

- Consider the effect of Clery’s timely warning requirement?
  - How can we prevent racial profiling or the perception of racial profiling?

### Sex Worker Victims

- Sex work is not one experience – varying motivations, experiences, approaches
  - Direct sex work: selling sexual services that involve direct, intimate contact with the client
  - Indirect sex work: selling services that do not require direct contact with the client
- Stigma and fear related to disclosure
- Considerations for safety
- Remain neutral, judgement-free

### Parties with Disabilities

- Often subject to higher levels of sexual assault than other populations
- Ability to consent may be impacted
- Lack of prevention education
- Taboo
- Accommodations often an afterthought in Title IX process
REPORTING PARTIES OF DRUG-FACILITATED SEXUAL ASSAULT

- Perpetrators can appear to be rescuers.
- Prevented from detecting threats to safety.
- Inhibited from exercising self-defense.
- Inability to remember.
- Reporting patterns are affected.
- System’s response affected by inability to recall what happened.
- Trauma can be misjudged and minimized.
- Unique form of trauma.


STATISTICS, UNDERREPORTING AND STEREOTYPING

- Rape/Sexual Assault:
  - Prevalence statistics vary due to underreporting.
  - 1 in 3 women worldwide.
  - 1 in 4 to 1 in 6 women on campuses (actual or attempted).
  - 3% false reporting rate is in line with all other violent crimes.
  - http://pcar.org/realities-sexual-violence
  - 50% of perpetrators were non-strangers (National College Women Sexual Victimization Study, 2000).
- Intimate Partner Violence:
  - Up to 1 in 3 college women have experienced www.ncadv.org
- Stalking:
  - 1 in 6 women and 1 in 19 men have experienced stalking victimization.
  - Majority are stalked by someone they know.
  - Often, but not always on the basis of sex/gender (important for Title IX-based response).
- Harassment:
  - Common on college campuses.

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PERPETRATION AND VICTIMIZATION

- Who commits sexual violence
- Cycle of violence

WHO COMMITS CAMPUS SEXUAL VIOLENCE?

- Previous research (Lisak and Miller, 2002) based on assessing offender characteristics at a single point in time:
  - Small number of college men perpetrating the vast number of rapes, and
  - They committed rape consistently over time.
  - Led to a focus on detecting this small group of serial predators.
- Newer research (Swartout et al., 2015) based on patterns over time:
  - Subset of perpetrators commit multiple acts of rape over time, but
  - Majority of perpetrators do not chronically offend over time.
  - Approximately 10.8% of college men commit a completed rape before or during college – higher than previously believed.
  - Of those who committed rape during college:
    - 75% report perpetration during only one year.
    - 25% report perpetration during two or more years.

WHO COMMITS CAMPUS SEXUAL VIOLENCE? (CONT.)

Takeaways:
- A higher proportion of men are considered rapists than was previously believed, but a majority reports rape at only one time point.
- Perpetrators are more heterogeneous in terms of their risk factors, methods of coercion, and patterns of offending over time.
- In addition to detecting perpetrators, recognize that rape is impulsive, opportunistic, and occurs in intimate/dating relationships.
- Implications for prevention?
THE PREDATORY PERPETRATOR

• It is hard to identify a predator absent evidence of pattern acts.
• We can’t profile/base decisions on personality characteristics.
• Still, experienced investigators develop “Spidey sense” that informs their investigations:
  – Sociopathy (Read The Sociopath Next Door, Martha Stout, Ph.D.).
  – Can the responding parties empathize?
  – Do they show genuine remorse?
  – Are they able to reflect on how they have impacted another human being?
  – Are their justifications of their actions nothing more than attacks on their accuser?
  – Are they externalizing responsibility, rationalizing or trying to justify abuse?

THE CYCLE OF VIOLENCE

• If abuse was a cycle, it would be predictable — you could know what to expect and when to expect it.
• But the reality about domestic violence is that it doesn’t happen that way.
• While there may be recognizable patterns going on in a relationship (e.g., you know your partner tends to get more confrontational after going out drinking), the violence rarely occurs in a predictable cycle.
• An important reason why [the National Domestic Violence Hotline doesn’t] use the term “cycle” is because it’s sometimes used to blame victims for the continuation of abuse.

https://www.thehotline.org/2013/08/15/is-abuse-really-a-cycle/
SEXUAL ABUSE CONTINUUM

- Sexist jokes
- Sexual objectification
- Jealousy
- Minimizing partner’s feelings and needs regarding sex
- Criticizing partner sexually
- Unwanted touch
- Withholding sex and affection
- Sexual labels like “whore” or “frigid”
- Always demanding sex
- Forcing partner to commit humiliating sexual acts
- Cheating
- Forcing partner to watch sexual acts with others
- Demanding sex with threats
- Forcing sex
- Forcing sex with others
- Forcing uncomfortable sex
- Forcing sex after beatings
- Sadism

IMPACT OF STALKING ON VICTIMS

- 46% of stalking victims fear not knowing what will happen next.
- 29% of stalking victims fear the stalking will never stop.
- 1 in 8 employed stalking victims lose time from work as a result of their victimization and more than half lose 5 days of work or more.
- 1 in 7 stalking victims move as a result of their victimization.
- The prevalence of anxiety, insomnia, social dysfunction, and severe depression is much higher among stalking victims than the general population, especially if the stalking involves being followed or having one’s property destroyed.

VICTIM ADVOCACY

- Victim Advocacy Tenets
- Advocate Role & Advocacy Issues
- Privacy & Confidentiality
- Victim Rights
- Campus Response Team
- Medical Exam and Evidence Collection
BASIC TENETS OF VICTIM ADVOCACY

- Provide information about choices
  - Medical:
    - Physical injury
    - STI Testing or treatment
    - Evidentiary exam
  - Legal:
    - Criminal – Campus or local police (if desired by victim)
    - Civil
    - Protection From Abuse (PFA) Order
  - Campus:
    - Public Safety
    - Internal disciplinary
- Listen with respect, not judgment
- Provide options not advice
- Cooperation among response team – Protocols

COMMON ROLES OF AN ADVOCATE

- Support
- Empowerment
- Medical evidentiary exam accompaniment
- Law enforcement statement accompaniment
- Courtroom accompaniment
- Psychoeducation
- Campus-based resolution accompaniment
- Listen, listen, listen

PRIVACY & CONFIDENTIALITY

- Institutions may (should) designate Victims Advocates as confidential for Title IX reporting purposes.
  - Aggregated data still needed.
- Provides and gives back a sense of control.
- Provides a safe haven, making disclosure more safe.
- Ensure that limits to confidentiality are known.
VICTIM RIGHTS

- Medical-evidentiary exam:
  - Victim cooperation with law enforcement is not required.
  - No out-of-pocket expense.
  - Privacy.
  - Consent required for each element of exam; can be withdrawn at any time.

- Law enforcement statement:
  - Victim right to an advocate.
  - Victim reviews and signs official version.
  - Understanding that a victim’s state of mind may change in the time following an assault.
  - Details may become more or less clear and/or vary from the immediate aftermath.

CAMPUS-BASED RESPONSE TEAM

- Advocate/Advisor
- Medical/Counseling personnel
  - Student Health
  - Counseling Services – Student, Employee Assistance Program
- Law Enforcement
  - Local Police
  - Public Safety
- Campus Resolution Services
  - Title IX, Student Conduct, Human Resources
- Student Affairs personnel

SANE/SAFE NURSES

- Sexual Assault Nurse Examiner (SANE)/Sexual Assault Forensic Examiner (SAFE).
- Specially trained to complete a medical-legal exam of sexual assault victims.
- Improved evidence collection and more sensitive initial medical response.
  - Photos
  - Observation and examination
  - DNA samples
  - Victim statement
MEDICAL-EVIDENTIARY EXAM

• The sooner after an assault, the more evidence may be recovered.
  • To preserve evidence, it is recommended that victims refrain from:
    – Eating/drinking/smoking
    – Bathing/showering/brushing teeth
    – Urinating/defecating/douching
    – Changing clothes
  • No judgment or blame if a victim has already done or chooses to do any of the above.

MEDICAL-EVIDENTIARY EXAM (CONT.)

• If drug facilitation is in question, the following can be preserved as evidence:
  – A potentially drug-laced drink
  – Vomit
  – Urine
  – Blood
• Emergency department
  – SANE/SAFE called if available
  – Otherwise, ER physician or OB/GYN resident on call
  – Delays are possible
  – Lengthy process
  – Chain of evidence

MEDICAL-EVIDENTIARY EXAM (CONT.)

• Elements of an exam:
  – Medical history
  – Stand over paper to collect any evidence that might fall off of a victim’s body.
  – Clothing collection, if possible
  – External exam
  – Collection of victim samples: hair, blood, urine, saliva, skin, nails etc.
  – Internal exam(s), as necessary:
    • Oral, vaginal and/or anal
    • May include use of a colposcope, or small camera, to document internal injuries
      such as tears or bruising
    • Treatment
ADVOCAcy Issues

• Compassion Fatigue: “The profound emotional and physical erosion that takes place when helpers are unable to refuel and regenerate” (WCASP, 2019)

• Vicarious Trauma: A cognitive shift in beliefs about one’s self or one’s world view about issues such as safety, trust, or control. For example, hearing about a particularly horrible event might compromise one’s trust or faith in humanity. (Newell and MacNeil 2010)

• Secondary Traumatic Stress: The emotional duress that results when an individual hears about the firsthand trauma experiences of another. Symptoms mimic PTSD (WCASP, 2019)

• Burnout: Burnout can include emotional exhaustion, depersonalization or cynicism and detachment, as well as a reduced sense of personal accomplishment. (Newell and MacNeil 2010)

• Organizational Trauma: A collective experience that overwhelms the organization’s defensive and protective structures leaving the entity vulnerable and helpless (WCASP, 2019)

WARNING SIGNS OF COMPASSION FATIGUE AND VICARIOUS TRAUMA

Physical
- Exhaustion, insomnia, or hypersomnia
- Headaches, frequent illness
- Somatization (stress manifested as illness) such as acne, stomach aches, digestive issues, etc.

Behavioral
- Increased use of alcohol, drugs, or other addictions
- Absenteeism or avoidance of work
- Over-committed to work, exaggerated sense of responsibility
- Anger, irritability, forgetfulness
- Difficulty in relationships

Psychological
- Feeling emotionally drained, difficulty feeling sympathy or empathy
- Distancing/isolation
- Feeling cynical, resentful, professionally helpless
- Symptoms of anxiety or depression
- Negative self-image
STRAATEGIES FOR COPING W/ COMPASSION FATIGUE & VICARIOUS TRAUMA

Building Resiliency
• Disrupt the routine and engage your creativity
• Set boundaries
  – Don’t check email at home
  – Take time off
  – Purposefully transition to “home life”
  – Build institutional or infrastructure
• Express emotions
  – Social support: personal and professional
  – Therapy
• Focus on hope
  – Remember the stories of the victims/survivors you helped
  – Keep a positive email folder to look at

QUESTIONS?

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